

Abel/Noser Corp. Account Opening Instructions

Abel/Noser Corp. has served the institutional investment community since 1975. We execute on an agency basis on all major liquidity venues. Our experienced agency traders always provide the best execution possible. Our unique suite of Signature Algorithms separates us from the competition. Superior execution is our only goal.

**Chris Corwin
Head of Trading**

Reach all trading desks at 800-344-0954 or 646-432-4100

Block Trading:

Gina Giaquinto
Bill Hines
Cliff Emmerich
Sean Roach

Sales Trading:

Yannis Vitsentzos

Algo Trading:

Mike Woods
Brett MacLeod

Global Trading:

Christopher Masi

Fixed Income:

Frank Santore
Christopher Masi

Abel/Noser clears all trades courtesy of ICBC - DTC 0824/ANOS = clearing MPID Broker ID-69026

Trades are confirmed by hard copy and through DTC on trade date plus one.

Domestic Oasys acronym: ABLENOSR

International CTM: ABELNOSR

Domestic Alert: ICBCEC

International Alert: GTRADE

Step-out trades, clearing broker to broker, use acronym: ANOS.

Account Opening Supervisor:

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Institutional Agency Execution and Trade Analytics
Member FINRA, NYSE and SIPC



BROKER LIST

- Please contact your regular trader or any of the following individuals below to execute a trade through our correspondent network
- Please designate orders at the time of trade "credit Abel/Noser"

DOMESTIC EQUITIES:

Bank of America/Merrill Lynch

Contact: Ralph Merola 201-557-1168 ralph.merola@baml.com

Barclays Capital ** US Equities (Electronic & DMA only)

Contact: Kevin Petrello 212-526-9459 kevin.petrello@barclays.com

Bley Investment Group, Inc. ** Minority Broker

Contact: Laura Bley 817-732-2442 laurab@bleyinvestments.com

BNP Paribas Securities Corp.

Contact: Ralph Davis 212-471-7998 ralph.davis@contingent.bnpparibas.com

ITG Inc.

Contact: Laura Broussard Delaney 212-444-6297 Recapture@itg.com
Jack Pollina 212-444-6282 Recapture@itg.com

Loop Capital Markets, LLC ** Minority Broker

Contact: Chris Levin 312-913-4908
Andrew Heilig 312-913-4908
Ken Hallman 312-913-4908

Piper Jaffray & Co.

Contact: Kent Farrington 612-303-6303 Algo/Program
Lina Soto 212-284-9530 High Touch / Cash Desk
Lina Soto 212-284-9530 New Accounts / Inquiries

Weeden & Co.

Contact: Stephen W. Volk 646-227-5574 Institutional Sales / Equity Trading Group

INTERNATIONAL EQUITIES:

CF Global Trading, LLC

United States – New York

Contact: Edouard Dejoux 212-888-4711
Emilie Sinkler 212-888-4711

HSBC Securities, Inc. ** New York desks only

United States – New York

Contact: Peter Barsanti 212-525-3022 Head of Sales Trading
Leon F. McIntyre 212-525-3334 Head of Program Trading

Instinet Corporation ** For U.S./General inquiries please email CMS@Instinet.com

United States – New York

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Scotia Capital Inc. ** For Canada based money managers only

Canada – Toronto

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Societe Generale

United States – New York

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