



BNY MELLON

THE BANK OF NEW YORK MELLON

Account Questionnaire Regarding Municipal Entity/Obligor

To satisfy new municipal advisor regulations issued by the Securities and Exchange Commission,¹ The Bank of New York Mellon ("BNY Mellon") is required to determine whether an investor is a "Municipal Entity" or "Obligor of a Municipal Security" and, if so, whether or not the investor's account contains "Proceeds of Municipal Securities" or "Municipal Escrow Investments". Therefore, please complete this questionnaire. The underlined terms are defined for your convenience after the signature line.

Please return complete and return this questionnaire by June 25, 2014.

Is the Account for: A Municipal Entity? ☐ Yes ☒ No

An Obligor of a Municipal Security? ☐ Yes ☒ No

- If all of your answers are "No," you only need to complete the signature lines.
- If any of your answers is "Yes," please check one of the following boxes and complete the signature lines.

- ☐ The investor's account with BNY Mellon contains or may contain Proceeds of Municipal Securities or Municipal Escrow Investments.
- ☐ The investor's account with BNY Mellon does not contain the Proceeds of Municipal Securities or Municipal Escrow Investments. I understand that BNY Mellon will treat this representation to be in effect for each subsequent contribution to or investment in the account unless BNY Mellon is notified that this is no longer accurate.

If you do not check any boxes or do not return this questionnaire June 25, 2014, BNY Mellon will treat such lack of response as a representation that [NON-MUNICIPAL ENTITY] is neither a *Municipal Entity* nor an *Obligor of a Municipal Security*.

If any of your negative responses change, you must advise BNY Mellon promptly.

I certify that I am a knowledgeable official regarding these representations.

Cynthia K. Friauf 6/5/14
Signature Date:

Cynthia K. Friauf, Vice President for Finance, Treasurer, and Assistant Secretary
Print person's name and title with Organization

The Lynde and Harry Bradley Foundation, Inc.
Print name of Organization on whose behalf this questionnaire was completed.

¹ "Registration of Municipal Advisors," Release No. 34-70462 at <http://www.sec.gov/rules/final/2013/34-70462.pdf>.