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**The Patriot Act Compliance Form**

The official title of the USA PATRIOT Act is "Uniting and Strengthening America by Providing Appropriate Tools Required to Intercept and Obstruct Terrorism (USA PATRIOT) Act of 2001."

The purpose of the USA PATRIOT Act is to deter and punish terrorist acts in the United States and around the world, to enhance law enforcement investigatory tools, and other purposes, some of which include:

* To strengthen U.S. measures to prevent, detect and prosecute international money laundering and financing of terrorism;
* To subject to special scrutiny foreign jurisdictions, foreign financial institutions, and classes of international transactions or types of accounts that are susceptible to criminal abuse;
* To require all appropriate elements of the financial services industry to report potential money laundering;
* To strengthen measures to prevent use of the U.S. financial system for personal gain by corrupt foreign officials and facilitate repatriation of stolen assets to the citizens of countries to whom such assets belong.

**What is the USA Patriot Act?**

The USA Patriot Act was established in 2001. This Act contains many regulations regarding antiterrorism in the United States. Specifically, Section 314 (a)(2)(A) of the Act addresses efforts to deter money laundering for terrorism through banking institutions, charitable organizations, and not-for-profits. The Act requires charitable organizations and not-for-profits like the Spartanburg Regional Foundation to cooperate with law enforcement agencies in regards to terrorist acts or money laundering activities. The full USA Patriot Act can be viewed at the Library of Congress website, [www.loc.gov](http://www.loc.gov) .

**Why does the Foundation need grantees to sign the USA Patriot Act Compliance Form?**

The Federal Government requires that all banking institutions, charitable organizations, and not-for-profits comply with the 2001 USA Patriot Act. We are required to perform the following tasks, in addition to others, annually for all agencies who directly or indirectly receive money through our organization. We are required to complete these tasks in order for us to maintain legal compliance with the Federal Government

1. Annually screen all agencies against specific Federal watch lists.
2. Annually obtain, from all agencies, a certification stating that United Way funds and donations will be used in compliance with all applicable anti-terrorism financing and asset control laws, statutes and executive orders.



**Who is authorized to sign the form?**

The USA Patriot Act does not provide specific guidance in this area; however, it is recommended that the signor of the form be someone who is knowledgeable about the organization’s use of money received through the Foundation.

**Why is the form signed annually?**

The Foundation obtains a signed copy of this form every year from the organizations to which we grant funds in compliance with the law. This is an annual requirement, even if the organization has signed the form the previous year.

**What happens if the organization chooses not to sign the form?**

The United States Government has mandated that a signed copy of this form be obtained from agencies prior to releasing funds. Without this form, the Foundation will be unable to allocate the funds.

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**United States Patriot Act & Anti-terrorism Compliance Certification**

In compliance with the Patriot Act and other counterterrorism laws, the Spartanburg Regional Foundation requires that each organization/department receiving funds from our organization, either as an outright grant or donor designation, certify the following on an annual basis **(please print legibly)**:

“I hereby certify on behalf of \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ [name of grantee] that all Spartanburg Regional funds and donations will be used in compliance with all applicable anti-terrorist financing and asset control laws, statutes and executive orders.”

Agency Federal Employer Identification Number \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Respondent’s Name \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Title \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Agency Mailing Address

Street \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

City \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

State, Zip Code\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Phone \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Email \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Signature: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_